



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of ) Examiner Unknown  
Ho Yu ) Group Art 1725  
Serial No. 10/630,387 ) Attorney Docket No. 03-0981  
Confirmation No. 8345 )  
Filed July 30, 2003 )  
For Method OF Preventing Cracking In )  
Direct Chill Cast Ingots )

**TRANSMITTAL OF INFORMATION DISCLOSURE  
STATEMENT WITHIN THREE MONTHS OF FILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450 on November 11, 2003.

Matthew W. Smith, Reg. No. 35366  
Date of Signature: November 11, 2003

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with 37 CFR 1.97(b), the Information Disclosure Statement submitted herewith is being filed within three months of the filing date of the application or before the mailing date of a first Office action on the merits, whichever event occurs last.

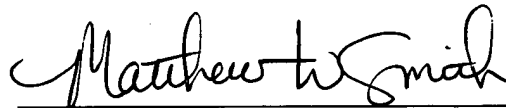
Copies of the references cited in the attached Disclosure Statement are enclosed.

This citation is made solely for the purpose of giving the patent Examiner full and convenient opportunity to make an independent review of these references during the examination of this application. No representation of any nature is made or intended by this disclosure.

**Customer Number**  
**08840**

PATENT TRADEMARK OFFICE

Respectfully submitted,

A handwritten signature in cursive script, reading "Matthew W. Smith", written over a horizontal line.

Matthew W. Smith  
Attorney for Applicants  
Reg. No. 35366  
Telephone 724-337-2774



Date November 11, 2003  
Sheet 1 of 1

DISCLOSURE STATEMENT				ATTY DOCKET NO.		SERIAL NO.	
				03-0981		10/630,387	
				APPLICANT			
				Ho Yu			
				FILING DATE		GROUP	
				July 30, 2003		1725	
U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	AA	4,071,072	01/78	McCubbin	164	89	09/02/76
	AB	6,066,403	05/00	Sherwood et al.	428	472.3	12/15/97
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AO						
	AP						
	AQ						
	AR						
	AS						
OTHER DOCUMENTS							
	AT						
	AU						
Examiner	Date Considered						
* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with the next communication to applicant.							